

NOTIFICATION OF SIGNIFICANT DEALINGS

This form is issued pursuant to La Trobe Financial's product design and distribution obligations framework under the Treasury Laws Amendment (Design and Distribution Obligations and Product Intervention Powers) Act 2019. Determination of the requirement to notify ASIC or any other regulatory body of significant dealings is at the full and sole discretion of La Trobe Financial. This form enables both La Trobe Financial and third-party distributors to identify potential significant dealings in the relevant product(s).

Please complete this form and submit it to targetmarketdistribution@latrobefinancial.com.au with any supporting documents.

LA TROBE FINANCIAL PRODUCT(S):

1. DATE(S) OF THE SIGNIFICANT DEALING

1.1 The date that the significant dealing occurred, or date range during which dealings occurred that taken together are significant; and

1.2 The date the distributor became aware of the significant dealing.

2. DESCRIPTION OF THE SIGNIFICANT DEALING

Please describe the significant dealing, including why it is not consistent with the TMD.

3. WHY THE DEALING IS SIGNIFICANT

Please describe why the dealing is considered to be significant.

4. HOW THE SIGNIFICANT DEALING WAS IDENTIFIED

Please describe how the significant dealing was identified.

Continued following page. >

5. WHAT STEPS, IF ANY, HAVE BEEN, OR WILL BE TAKEN IN RELATION TO THE SIGNIFICANT DEALING**Include details as relevant:**

Form submitted by: _____

Contact details (Who can we contact about this form?): _____

Date of submission:

I have included supporting documents for review: Yes No**If you have any questions about this form please e-mail targetmarketdistribution@latrobefinancial.com.au**